

# Uniform Plane Wave Solution to Maxwell's Equations

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## 1 Helmholtz Equations

Solutions to the *Helmholtz equations* describe how electric  $\mathbf{E}$  (V m<sup>-1</sup>) and magnetic fields  $\mathbf{H}$  (A m<sup>-1</sup>) propagate (travel) through a homogeneous material. The Helmholtz equations for the time harmonic forms of the electric and magnetic fields  $\tilde{\mathbf{E}}$  and  $\tilde{\mathbf{H}}$  assuming an  $e^{j\omega t}$  time dependence are

$$\nabla^2 \tilde{\mathbf{E}} + k^2 \tilde{\mathbf{E}} = 0 \quad (1)$$

and

$$\nabla^2 \tilde{\mathbf{H}} + k^2 \tilde{\mathbf{H}} = 0 \quad (2)$$

where:  $k = \omega \sqrt{\mu\epsilon}$  is the wave number or propagation constant (rad m<sup>-1</sup>);  $\omega = 2\pi f$  is the radial frequency (rad s<sup>-1</sup>);  $f$  is the frequency (s<sup>-1</sup> or Hz);  $\mu$  is the permeability of the material through which the wave is propagating (H m<sup>-1</sup>); and  $\epsilon$  is the permittivity of the material (F m<sup>-1</sup>).

## 2 Uniform Plane Wave

An electric field of the form  $\tilde{\mathbf{E}}(z) = \hat{\mathbf{x}}\tilde{E}_x$  solves (1) if

$$\tilde{E}_x = E_{xo} e^{-jkz}. \quad (3)$$

This is a *uniform plane wave* propagating in the  $+\hat{\mathbf{z}}$  direction. Uniform plane waves have uniform (constant) properties in a plane perpendicular to their direction of propagation. For the uniform plane wave described by (3) the plane of uniformity is the  $x$ - $y$  plane.  $E_{xo}$  is complex in general so it has a magnitude  $|E_{xo}|$  and a phase  $e^{j\phi}$ . Since the electric and magnetic fields are coupled, there must be a magnetic field  $\tilde{\mathbf{H}}(z)$  associated with this electric field. The magnetic field can be found using one of Maxwell's equations, specifically  $\nabla \times \tilde{\mathbf{E}} = -j\omega\mu\tilde{\mathbf{H}}$ . The magnetic field has only a  $\hat{\mathbf{y}}$  component and has the form:

$$\tilde{H}_y = \frac{E_{xo}}{\eta} e^{-jkz} \quad (4)$$

where  $\eta = \sqrt{\mu/\epsilon}$  is the intrinsic impedance of the material ( $\Omega$ ). Note that (4) also solves (2).

## 2.1 Lossless Medium

The real electric field is found by converting the time harmonic form of the electric field in (3) using the relationship  $\mathbf{E}(t, z) = \Re \left\{ \tilde{\mathbf{E}} e^{j\omega t} \right\}$  where  $\Re \{ \}$  takes the real part of the argument. The real electric field has the form

$$\mathbf{E}(t, z) = \hat{\mathbf{x}} |E_{xo}| \cos(\omega t - kz + \phi). \quad (5)$$

This is a wave equation with amplitude  $|E_{xo}|$ , radial frequency  $\omega$ , phase constant or wave number  $k = 2\pi/\lambda$ , wavelength  $\lambda$  (m), and reference phase  $\phi$  (rad) propagating in the  $+\hat{\mathbf{z}}$  direction. Note that the magnitude of the complex field  $\tilde{\mathbf{E}}(z)$  is the amplitude of the real field  $\mathbf{E}(t, z)$  and the phase of  $\tilde{\mathbf{E}}(z)$  is the spatial portion of the phase of  $\mathbf{E}(t, z)$ .

By taking the time derivative of the argument of the cosine function in (5) it can be shown that the phase velocity  $u_p$  is

$$u_p = \frac{dz}{dt} = \frac{\omega}{k} = \frac{1}{\sqrt{\mu\epsilon}}. \quad (6)$$

The permeability  $\mu$  and permittivity  $\epsilon$  can also be written  $\mu = \mu_r \mu_o$  and  $\epsilon = \epsilon_r \epsilon_o$ , respectively, where  $\mu_r$  and  $\epsilon_r$  are the relative permeability and permittivity of the material, and  $\mu_o$  and  $\epsilon_o$  are the permeability and permittivity of free space. Virtually all materials relevant to microwave remote sensing have relative permeabilities of unity. We will always assume that  $\mu_r = 1$  and that  $\mu = \mu_o$ . The relative permittivities of materials relevant to microwave remote sensing can vary in magnitude from 1 to 80 and may also be complex. ‘‘Free space’’ is a term used for a vacuum. Air has a relative permittivity of approximately unity, so it can be approximated as free space. The phase velocity in free space,  $c$ , is found by substituting into (6).

$$c = \frac{\omega}{k_o} = \frac{1}{\sqrt{\mu_o \epsilon_o}} \quad (7)$$

Here  $k_o$  is the free space wave number. Evaluation of (7) gives  $c = 2.9979 \times 10^8 \text{ m s}^{-1}$ .

Using (6), (7), and other definitions (e.g.  $u_p = \lambda f$ ), the following relationships can be written:

$$k_o = \frac{\omega}{c} = \frac{2\pi}{\lambda_o} \quad (8)$$

$$\lambda = \frac{\lambda_o}{\sqrt{\epsilon_r}} \quad (9)$$

$$u_p = \frac{c}{\sqrt{\epsilon_r}} \quad (10)$$

$$k = \frac{\omega}{u_p} = \frac{\omega}{c/\sqrt{\epsilon_r}} = k_o \sqrt{\epsilon_r} \quad (11)$$

where  $\lambda_o = c/f$  is the free space wavelength.

The general relationship between the electric and magnetic fields is given as follows:

$$\tilde{\mathbf{H}} = \frac{1}{\eta} \hat{\mathbf{k}} \times \tilde{\mathbf{E}} \quad (12)$$

$$\tilde{\mathbf{E}} = -\eta \hat{\mathbf{k}} \times \tilde{\mathbf{H}} \quad (13)$$

where  $\hat{\mathbf{k}}$  denotes the direction of propagation.

## 2.2 Lossy Medium

When the medium through which an electromagnetic wave is propagating is *lossy*, the magnitude of the wave is attenuated. Lossy mediums have wave numbers  $k$  that are complex:  $k = k' - jk''$ , where  $k' = \Re\{k\}$  is the real part of the wavenumber, and  $k'' = \Im\{k\}$  is the imaginary part. To see the effect of a complex wavenumber, consider the real time-dependent field of the uniform plane wave in (3).

$$\mathbf{E}(t, z) = \Re \left\{ \hat{\mathbf{x}} E_{xo} e^{-j(k' - jk'')z} e^{j\omega t} \right\} \quad (14)$$

The result is:

$$\mathbf{E}(t, z) = \hat{\mathbf{x}} |E_{xo}| e^{-k''z} \cos(\omega t - k'z + \phi) \quad (15)$$

The imaginary part of the wavenumber  $k''$  attenuates the wave. The real part of the wavenumber  $k'$  acts just as before as the phase constant and determines the wavelength of the wave as it propagates in the medium, as well as the propagation velocity  $u_p$ .

Since we assume that  $\mu = \mu_o$  ( $\mu_r = 1$ ) in microwave remote sensing, then the relative permittivity  $\epsilon_r$  of a lossy medium must also be complex:  $\epsilon_r = \epsilon'_r - j\epsilon''_r$ . Note that the relative permittivity is also sometimes called the *dielectric constant*. When  $\mu_r = 1$ ,

$$k' = k_o |\Re\{\sqrt{\epsilon_r}\}| \quad (16)$$

$$k'' = k_o |\Im\{\sqrt{\epsilon_r}\}|. \quad (17)$$

When evaluating  $\sqrt{\epsilon_r}$  care must be taken since  $\epsilon_r$  is a complex number. It is more convenient, and more physical, to define the *index of refraction*  $n = \sqrt{\epsilon_r} = n' - jn''$ . Using this definition,

$$k' = k_o |\Re\{n\}| = k_o n' \quad (18)$$

$$k'' = k_o |\Im\{n\}| = k_o n'' \quad (19)$$

The refractive index and relative permittivity are related as follows:

$$\epsilon'_r = (n')^2 - (n'')^2 \quad (20)$$

$$\epsilon''_r = 2n'n'' \quad (21)$$

$$n' = \sqrt{\frac{\sqrt{(\epsilon'_r)^2 + (\epsilon''_r)^2} + \epsilon'_r}{2}} \quad (22)$$

$$n'' = \sqrt{\frac{\sqrt{(\epsilon'_r)^2 + (\epsilon''_r)^2} - \epsilon'_r}{2}} \quad (23)$$

The advantage of using the index of refraction to characterize a medium instead of the relative permittivity (dielectric constant) is shown by (20) through (23). When using the relative permittivity, the phase constant  $k'$  is a function of *both* the real *and imaginary* parts of  $\epsilon_r$ . Furthermore, the attenuation  $k''$  is *also* a function of both the real and imaginary parts of  $\epsilon_r$ . If we use the refractive index, then the phase constant  $k'$  is only a function of  $n'$ , and the attenuation  $k''$  is only a function of  $n''$ . Hence the real part of the refractive index determines

the wavelength of the wave in the medium ( $\lambda = \lambda_o/n'$ ) and the phase velocity ( $u_p = c/n'$ ), and the imaginary part of the refractive index determines the attenuation ( $k'' = k_o n''$ ).

The magnitude of the electric field in a lossy medium can be found from (15).

$$|\tilde{\mathbf{E}}| = |E_{xo}| e^{-k'' z} \quad (24)$$

When  $|\tilde{\mathbf{E}}|$  is  $e^{-1} = 37\%$  of its original magnitude  $|E_{xo}|$ , then  $z = \delta_s = 1/k''$ , where  $\delta_s$  is called the *skin depth* of the material. The skin depth is a measure of how far a wave can propagate into a medium. Waves are attenuated quickly in a medium that has a small skin depth. When the medium is lossless ( $k'' = 0$ ) then the skin depth is infinite and the wave propagates indefinitely. Metals have high electrical conductivities, and hence  $\epsilon_r''$  is large, which generally leads to a large  $k''$ . Metals therefor have very small skin depths.

### 3 Two-component Fields

Coming soon.

### 4 Polarization

Coming soon.

### 5 Propagation of Power

The Poynting vector  $\mathbf{S}$  is defined as

$$\mathbf{S} = \mathbf{E} \times \mathbf{H} \quad (25)$$

and has units of  $\text{W m}^{-2}$ . It represents the instantaneous power per unit area carried by an electromagnetic wave. The direction of the Poynting vector is  $\hat{k}$ , the direction of propagation. The time-average power density is

$$\mathbf{S}_{\text{av}} = \frac{1}{2} \Re \{ \tilde{\mathbf{E}} \times \tilde{\mathbf{H}}^* \} \quad (26)$$

where  $*$  denotes the complex conjugate. *The time-average power density is the quantity that is measured by a microwave radiometer.* In passive remote sensing, this time-average power is used to infer specific properties of the source of the electromagnetic radiation. In reality, only a specific component of the time-average power is measured as determined by the polarization of the radiometer's antenna.

For a wave propagating in  $\hat{z}$  in a lossless medium ( $\Im \{n\} = 0$ ),

$$\mathbf{S}_{\text{av}}(z) = \hat{z} \frac{|\tilde{\mathbf{E}}|^2}{2\eta} = \hat{z} \frac{|E_{xo}|^2 + |E_{yo}|^2}{2\eta} \quad (27)$$

For a wave propagating in  $\hat{z}$  in a lossy medium ( $\Im \{n\} \neq 0$ ),

$$\mathbf{S}_{\text{av}}(z) = \hat{z} \frac{|\tilde{\mathbf{E}}(z=0)|^2}{2|\eta|} \cos(\theta_\eta) e^{-2k'' z} \quad (28)$$

where  $\eta = |\eta| e^{j\theta_\eta}$ .

## 6 Nepers and Decibels

As electromagnetic radiation travels through a lossy medium, it is attenuated according to the electrical properties of the medium, specifically the imaginary part of the refractive index,  $n$ . For a uniform plane wave propagating in  $+\hat{z}$ ,

$$S_{av}(z) = \frac{1}{2} \Re \{ \tilde{\mathbf{E}} \times \tilde{\mathbf{H}}^* \} = \frac{|\tilde{\mathbf{E}}(z=0)|^2}{2|\eta|} \cos(\theta_\eta) e^{-2k''z} \quad (29)$$

where again  $k'' = k_o n''$ . At any point  $z = z'$ , the ratio of the time-average power at  $z = z'$ ,  $S_{av}(z')$ , to the time-average power at  $z = 0$ ,  $S_{av}(0)$ , is:

$$\frac{S_{av}(z')}{S_{av}(0)} = \frac{\frac{|\tilde{\mathbf{E}}(z=0)|^2}{2|\eta|} \cos(\theta_\eta) e^{-2k''z'}}{\frac{|\tilde{\mathbf{E}}(z=0)|^2}{2|\eta|} \cos(\theta_\eta) e^0} = e^{-\alpha z'} \quad (30)$$

where  $\alpha = 2k''$  is the attenuation constant of the medium.

In general, any power ratio like the one in (30) can be expressed in units of either nepers (Np) or decibels (dB). Consider a power ratio  $P_2/P_1$  where  $P_2 < P_1$  (the power has been attenuated). To find the ratio in nepers, simply take the natural logarithm of the power ratio.

$$N = \ln \frac{P_2}{P_1} \quad (31)$$

$N$  has units of Np and the two powers are related through

$$P_2 = P_1 e^{-|N|} \quad (32)$$

(Note that since  $P_2 < P_1$ ,  $N < 0$ ). To find the ratio in decibels, take the base-ten logarithm of the power ratio and multiply by ten.

$$N = 10 \log \frac{P_2}{P_1} \quad (33)$$

Here  $N$  has units of dB. To convert between nepers and decibels, think of what a power ratio of  $P_1/P_2 = e \approx 2.718$  would be in decibels:

$$N = \ln \frac{P_1}{P_2} = \ln(e) = 1 \text{ Np} = 10 \log \frac{P_1}{P_2} = 10 \log(e) \approx 4.343 \text{ dB} \quad (34)$$

Attenuation constants  $\alpha$  will be given with units of either Np  $\text{m}^{-1}$  or dB  $\text{m}^{-1}$ . To compute the actual attenuation of power using (30), the units of dB  $\text{m}^{-1}$  must be converted to Np  $\text{m}^{-1}$  using (34).